



KING COUNTY

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

Signature Report

April 4, 2005

Ordinance 15148

Proposed No. 2004-0319.1

Sponsors Phillips

1 AN ORDINANCE relating to oaths for disclosure
2 statements; and amending Ordinance 1308, Section 6, as
3 amended, and K.C.C. 3.04.050 and Ordinance 12138,
4 Section 4, as amended, and K.C.C. 3.04.120.

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7 BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

8 SECTION 1. Ordinance 1308, Section 6, as amended, and K.C.C. 3.04.050 are
9 each hereby amended to read as follows:

10 **Statement of financial and other interests.**

11 A. All candidates for county elective office, and nominees for appointment to any
12 county elective office except for judicial candidates, within two weeks of becoming a
13 candidate or nominee, and all elected officials who are defined as county employees under
14 K.C.C. 3.04.017, paid in whole or in part by county funds, shall file with the board of ethics
15 a statement of financial and other interests as defined in this section. These requirements
16 may be satisfied by filing with the board of ethics a copy of the report required to be filed
17 by RCW 42.17.240, if this report contains an original signature of the person filing the
18 report. The board of ethics shall forward a copy of such statements, reports and forms to

19 the division of records and elections, or its successor agency, within ten days of their
20 receipt.

21 B. Within ten days of employment or appointment and on or before April 15 of
22 each year thereafter, the following employees shall file a written statement of financial and
23 other interests, as defined in this section, with the board of ethics: all employees appointed
24 by the county executive; all employees appointed by the county administrative officer or
25 department directors and who are subject to the approval of the county executive; all
26 employees of the council; and such additional employees as may be determined in
27 accordance with criteria adopted by the board of ethics under subsection C₂ of this section.
28 Within two weeks of becoming a nominee for appointment to county boards and
29 commissions, the nominee shall file a written statement of financial and other interests, as
30 defined in this section, with the board of ethics.

31 C. The board of ethics shall adopt by rule criteria for determining which
32 employees, in addition to those designated in subsection B of this section, are required to
33 complete and file statements of financial and other interests. The criteria must consider the
34 association between the duties and responsibilities of employees and the conflict of interest
35 provisions in K.C.C. 3.04.030.

36 D.1. The statement of financial and other interests required to be filed under this
37 section must include the following information of which the employee has, or reasonably
38 should have, knowledge for the reporting year:

39 a. compensation, gifts and things of value:

40 (1) the name of each person engaged in a transaction, as defined by K.C.C.
41 3.04.017_F, with King County in which the employee may participate or has responsibility

42 for, from whom the employee or a member of the employee's immediate family received
43 any compensation, gift or thing of value; and

44 (2) the name of the individual who received the compensation, gift or thing of
45 value and the individual's relationship to the employee;

46 b. financial interests:

47 (1) the name of each person engaged in a transaction, as defined by K.C.C.
48 3.04.017.F, with King County in which the employee may participate or has responsibility
49 for, in whom the employee or a member of the employee's immediate family possessed a
50 financial interest; and

51 (2) the name of the individual who possessed the financial interest and the
52 individual's relationship to the employee;

53 c. positions:

54 (1) the name of each person engaged in a transaction, as defined by K.C.C.
55 3.04.017.F, with King County in which the employee may participate or has responsibility
56 for, with whom the employee or a member of the employee's immediate family held a
57 position;

58 (2) the name of the individual who held the position and the individual's
59 relationship to the employee; and

60 (3) the title of the position; and

61 d. real property:

62 (1) real property, listed by street address, assessor parcel number or legal
63 description that was either involved in or the subject of an action by King County, in which

64 the employee or a member of the employee's immediate family possessed a financial
65 interest;

66 (2) the name of the individual who possessed the financial interest and the
67 individual's relationship to the employee; and

68 (3) the name of the King County department involved in the transaction.

69 2. Property for which the only county action was valuation for tax purposes does
70 not have to be reported except by those employees of the department of assessments and
71 the board of appeals who are required to file a report. The use the individual made of the
72 real property, such as recreation, personal residence or income, does not have to be
73 reported.

74 E. For purposes of the statements of financial and other interests required to be
75 filed annually, the "reporting year" means the preceding calendar year. For purposes of the
76 statements of financial and other interests to be filed within ten days of employment or
77 appointment, the "reporting year" means the preceding twelve calendar months.

78 F. An individual filing a statement of financial affairs (~~(under)~~) in accordance with
79 subsections A. and B. of this section shall (~~((swear that the statement is a complete copy of~~
80 ~~the statement filed under state law and that the information contained in the statement is~~
81 ~~true and accurate. A county employee filing a statement of financial and other interests~~
82 ~~under subsection B of this section shall swear that the information in the statement is true~~
83 ~~and accurate))~~ execute a written declaration that:

84 1. Recites that the statement is declared by the person to be true, complete and
85 correct under penalty of perjury;

86 2. Is signed by the person;

87 3. States the date and place of the declaration's execution; and

88 4. States that the declaration is so declared under the laws of the state of

89 Washington.

90 G. The financing of election campaigns shall continue to be governed by other
91 applicable local, state((;)) and federal laws, and not by the provisions of this chapter.

92 H. Filing of the written statement of financial and other interests, as defined in this
93 section, does not relieve the employee of the duty to notify his or her supervisor of a
94 potential conflict of interest as required by K.C.C. 3.04.037.

95 I. The board may adopt rules and regulations by which affected employees may
96 request suspension or modification of the requirements to disclose financial and other
97 interests set forth in this section if the literal application of the requirements would cause a
98 manifestly unreasonable hardship and the suspension or modification would not frustrate
99 the purposes of this chapter.

100 J. The board of ethics may adopt necessary and appropriate rules, regulations and
101 forms related to completing, filing, maintaining and disclosing statements of financial and
102 other interests under this section. The board, if adopting the rules, regulations and forms,
103 shall adopt them as provided in K.C.C. chapter 2.98.

104 SECTION 2. Ordinance 12138, Section 4, as amended, and K.C.C. 3.04.120 are
105 each hereby amended to read as follows:

106 **Disclosure of interests by consultants.**

107 A.1. Each consultant entering into a contract to provide professional or technical
108 services to the county costing in excess of two thousand five hundred dollars shall file both

109 with the King County board of ethics and the executive a sworn written statement
110 disclosing the following information:

111 a. any office or directorship in the consultant held by any county employee or
112 any member of his or her immediate family;

113 b. any financial interest in the consultant held or received by any county
114 employee or any member of his or her immediate family as follows:

115 (1) ownership of over five percent of the stock or other form of interest in the
116 consultant; and

117 (2) receipt of any compensation, gift or thing of value from the consultant;

118 c. a list of all contracts between the consultant and the county in the five years
119 immediately preceding the presently contemplated contract including the amount of money
120 paid by the county to the consultant pursuant to each contract;

121 d. any position or positions on any county board or commission, whether salaried
122 or unsalaried, held by any officer or director of the consultant in the five years immediately
123 preceding the presently contemplated contract; and

124 e. any other information known to the consultant about any interest or
125 relationship whatsoever between any county employee, including any member of his or her
126 immediate family, and the consultant, other than that disclosed pursuant to subsection
127 A.1.a. through d. of this section.

128 2. Unless otherwise specified in this section, the information disclosed shall cover
129 the period twenty-four months before and including the date of filing the sworn statement.

130 3. A consultant filing a King County consultant disclosure form in accordance
131 with this section shall execute a written declaration that:

- 132 a. recites that the information in the disclosure form is declared by the consultant
133 to be true, complete and correct under penalty of perjury;
134 b. is signed by the consultant;
135 c. states the date and place of the declaration's execution; and
136 d. states that the declaration is so declared under the laws of the state of
137 Washington.

138 B. No payment shall be made on any contract with any consultant until five days
139 after receipt by the board of ethics and the executive of the information required to be
140 disclosed by this section.

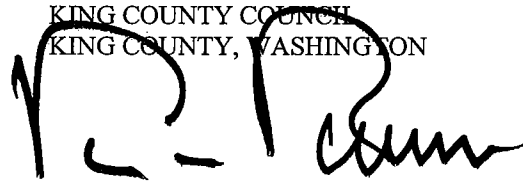
141 C. For purposes of this section, "consultant" means a person, as defined in K.C.C.
142 3.04.017, who by experience, training and education has established a reputation or ability
143 to provide professional or technical services, as defined in K.C.C. 4.16.010, on a discrete,

Ordinance 15148

144 nonrecurring basis over a limited and preestablished term as an independent contractor to
145 the county.
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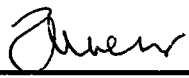
Ordinance 15148 was introduced on 7/19/2004 and passed by the Metropolitan King
County Council on 4/4/2005, by the following vote:

Yes: 11 - Mr. Phillips, Ms. Edmonds, Ms. Lambert, Mr. Pelz, Mr. Dunn, Mr.
Ferguson, Mr. Gossett, Ms. Hague, Mr. Irons, Ms. Patterson and Mr.
Constantine
No: 0
Excused: 2 - Mr. von Reichbauer and Mr. Hammond

KING COUNTY COUNCIL
KING COUNTY, WASHINGTON


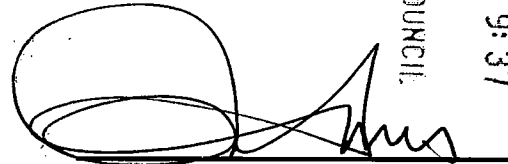
Larry Phillips, Chair

ATTEST:



Anne Noris, Clerk of the Council

APPROVED this 6 day of April, 2005.



Ron Sims, County Executive

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CLERK
KING COUNTY COUNCIL

Attachments None